

**BENCHMARKING GRID  
SERVICE AGREEMENT  
(Securities Representative)**

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**INSTRUCTIONS**

If this is your first attempt at using one of our benchmarking grids we recommend that you consult document number **0004500** for instructions on how best to use this tool, which can be found in **Chapter 00 - Contractual Process** in the Contract Templates available on our website.

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# BENCHMARKING GRID

## SERVICE AGREEMENT (Securities Representative)

### 2.00 BENCHMARKING GRID

BENCHMARK AGREEMENT: C04.200	MATCHING PROVISION(S)	VARIATIONS/ OBSERVATIONS 1
<b>IDENTIFICATION AND ADDRESSES</b>		
<b>IDENTIFICATION OF PARTIES :</b>		
<b>THE CORPORATION</b>		
<b>THE REPRESENTATIVE</b>		
<b>RECITALS</b>		
<i>A)</i> CORPORATION is a brokerage firm		
<i>B)</i> CORPORATION wishes to engage a representative to carry out its securities brokerage activities		
<i>C)</i> REPRESENTATIVE is licensed for such purpose		
<i>D)</i> CORPORATION wishes to retain services of the REPRESENTATIVE		
<i>E)</i> Private instrument		
<i>F)</i> Mutual agreement		

1 If the clause situated in the Matching Provisions column is deficient in some way (see the list of deficiency codes located after list of deficiencies), we recommend placing an asterisk next to that clause number and to record the deficiency in the list of deficiencies that appears at page 20 of this document.

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BENCHMARK AGREEMENT: C04.200	MATCHING PROVISION(S)	VARIATIONS/ OBSERVATIONS 1
<b>0.00 INTERPRETATION</b>		
<b>0.01 Definitions</b>		
0.01.01 Agreement		
0.01.02 Applicable Laws		
0.01.03 Breach		
0.01.04 Change in Control		
0.01.05 Confidential Information		
0.01.06 Force Majeure		
0.01.07 Intellectual Property		
0.01.08 Legal Representatives		
0.01.09 PARTY		
0.01.10 Person		
0.01.11 Prime Rate		
0.01.12 Regulations		
0.01.13 Regulatory Authority		

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BENCHMARK AGREEMENT: C04.200	MATCHING PROVISION(S)	VARIATIONS/ OBSERVATIONS 1
0.01.14      Related Person		
0.01.15      Securities		
0.01.16      Subsidiary		
0.01.17      Tasks		
0.01.18      Territory		
<b>0.02    Entire Agreement</b>		
<b>0.03    Governing Law</b>		
<b>0.04    Non-Compliance</b>		
0.04.01      Severability		
0.04.02      Substitute Provision		
<b>0.05    Miscellaneous</b>		
0.05.01      Cumulative Rights		
0.05.02      No Waiver		
0.05.03      Time and Days		
a)      Time of the Essence		
b)      Computation of Time		
c)      Delays		